

CLINICAL TRIAL

Hereditary spastic paraplegia type 5: natural history, biomarkers and a randomized controlled trial

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Spastic paraplegia type 5 (SPG5) is a rare subtype of hereditary spastic paraplegia, a highly heterogeneous group of neurodegenerative disorders defined by progressive neurodegeneration of the corticospinal tract motor neurons. SPG5 is caused by recessive mutations in the gene *CYP7B1* encoding oxysterol-7 α -hydroxylase. This enzyme is involved in the degradation of cholesterol into primary bile acids. *CYP7B1* deficiency has been shown to lead to accumulation of neurotoxic oxysterols. In this multicentre study, we have performed detailed clinical and biochemical analysis in 34 genetically confirmed SPG5 cases from 28 families, studied dose-dependent neurotoxicity of oxysterols in human cortical neurons and performed a randomized placebo-controlled double blind interventional trial targeting oxysterol accumulation in serum of SPG5 patients. Clinically, SPG5 manifested in childhood or adolescence (median 13 years). Gait ataxia was a common feature. SPG5 patients lost the ability to walk independently after a median disease duration of 23 years and became wheelchair dependent after a median 33 years. The overall cross-sectional progression rate of 0.56 points on the Spastic Paraplegia Rating Scale per year was slightly lower than the longitudinal progression rate of 0.80 points per year. Biochemically, marked accumulation of *CYP7B1* substrates including 27-hydroxycholesterol was confirmed in serum ($n = 19$) and cerebrospinal fluid ($n = 17$) of SPG5 patients. Moreover, 27-hydroxycholesterol levels in serum correlated with disease severity and disease duration. Oxysterols were found to impair metabolic activity and viability of human cortical neurons at concentrations found in SPG5 patients, indicating that elevated levels of oxysterols might be key pathogenic factors in SPG5. We thus performed a randomized placebo-controlled trial (EudraCT 2015-000978-35) with atorvastatin 40 mg/day for 9 weeks in 14 SPG5 patients with 27-hydroxycholesterol levels in serum as the primary outcome measure. Atorvastatin, but not placebo, reduced serum 27-hydroxycholesterol from 853 ng/ml [interquartile range (IQR) 683–1113] to 641 (IQR 507–694) (–31.5%, $P = 0.001$, Mann-Whitney U-test). Similarly, 25-hydroxycholesterol levels in serum were reduced. In cerebrospinal fluid 27-hydroxycholesterol was reduced by 8.4% but this did not significantly differ from placebo. As expected, no effects were seen on clinical outcome parameters in this short-term trial. In this study, we define the mutational and phenotypic spectrum of SPG5, examine the correlation of disease severity and progression with oxysterol concentrations, and demonstrate in a randomized controlled trial that atorvastatin treatment can effectively lower 27-hydroxycholesterol levels in serum of SPG5 patients. We thus demonstrate the first causal treatment strategy in hereditary spastic paraplegia.

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Abbreviations: 25/27-OHC = 25/27-hydroxycholesterol; 3 β -CA = 3 β -hydroxy-5-cholestenic acid; HSP = hereditary spastic paraplegia; iPSC = induced pluripotent stem cell; SPG5 = spastic paraplegia 5; SPRS = Spastic Paraplegia Rating Scale

Introduction

Spastic paraplegia 5 (SPG5) is a rare subtype of hereditary spastic paraplegia (HSP), a group of diseases that is characterized by progressive lower limb spasticity and weakness due to degenerative axonopathy of corticospinal tract motor neurons. It is caused by bi-allelic mutations in the oxysterol-7 α -hydroxylase gene *CYP7B1* (Tsaousidou *et al.*, 2008). This enzyme is involved in the degradation of cholesterol into primary bile acids. The bulk of cholesterol is degraded via the so-called 'classic' pathway that is initiated by 7 α -hydroxylation of cholesterol by CYP7A1. Alternatively, cholesterol is initially side chain oxidized and the resulting

oxysterols 25-hydroxycholesterol (25-OHC) and 27-hydroxycholesterol (27-OHC) are 7 α -hydroxylated by CYP7B1 ('acidic' pathway) (Supplementary material and Supplementary Fig. 1). 27-OHC can be further oxidized to 3 β -hydroxy-5-cholestenic acid (3 β -CA) especially under low-cholesterol conditions (Pikuleva *et al.*, 1998). CYP7B1 deficiency in SPG5 leads to marked accumulation of CYP7B1 substrates in serum and CSF (Schüle *et al.*, 2010). This finding is important as it has potential therapeutic implications for SPG5. Oxysterols, especially 27-OHC, have been repeatedly linked to neurodegeneration (Lim *et al.*, 2014). They have a pro-apoptotic effect on cultured neuroblastoma cells, macrophages and smooth

muscle cells (Rantham Prabhakara *et al.*, 2008; Riendeau and Garenc, 2009). 27-OHC is pro-amyloidogenic by stimulating β -secretase activity (Famer *et al.*, 2007) and causes Alzheimer disease-like pathology in human neuroblastoma SH-SY5Y cells (Prasanthi *et al.*, 2009). The spinal cord does not express *CYP46A1*, the gene encoding the main cholesterol metabolizing enzyme in brain, and therefore might be especially prone to 27-OHC effects (Bjorkhem *et al.*, 2010). Oxysterol accumulation thus may not only be a biomarker for, but also a key factor driving pathology in SPG5 (Theofilopoulos *et al.*, 2014).

Cholesterol and oxysterol levels in serum and CSF are related (Leoni *et al.*, 2003) and cholesterol lowering therapy has been shown to reduce 27-OHC levels in plasma (Thelen *et al.*, 2006). Treatment of SPG5 patients with HMG-CoA reductase inhibitors might therefore lower the pathologically elevated levels of oxysterols in SPG5 patients (Schule *et al.*, 2010; Mignarri *et al.*, 2015).

To test this hypothesis we here report a detailed clinical and biochemical analysis of oxysterols in a large cohort of SPG5 patients, analyse the natural history of SPG5, evaluate the correlation between oxysterol levels and disease duration and severity, demonstrate toxicity of oxysterols on human cortical neurons at concentrations found in SPG5 patients and report the results of a randomized placebo-controlled double blind clinical trial to examine the efficacy of cholesterol lowering therapy with atorvastatin on oxysterol levels in SPG5.

Patients and methods

Cohort and clinical work-up

A total of 34 subjects from 28 families with a clinical diagnosis of HSP and genetically confirmed SPG5 (Schule *et al.*, 2009; Schlipf *et al.*, 2011) were recruited in clinical centres in Antwerp (Belgium), Athens (Greece), Conegliano, Lecco (Italy), Milano (Italy), Munich (Germany), Naples (Italy), Tübingen (Germany), Porto Alegre (Brazil) and Sao Paolo (Brazil). Additionally, 11 unaffected family members, carrying heterozygous *CYP7B1* mutations, were included. All probands received a detailed neurological examination by a movement disorder specialist at the respective clinical centre including application of the Spastic Paraplegia Rating Scale (SPRS) (Schule *et al.*, 2006). For cross-sectional analyses of disease severity, the first SPRS score in each proband was selected; for longitudinal analyses, all available SPRS scores were included. Phenotypes were classified into pure and complicated HSP according to the Harding criteria (Harding, 1983). Written informed consent was obtained from all study participants; the local institutional review boards approved the study.

Biochemical analysis

Serum and CSF were sampled from patients and healthy family members. Side-chain oxidized oxysterols and 3β -CA were assayed by isotope dilution mass spectrometry using deuterium-labelled internal standards as described previously

(Bjorkhem and Falk, 1983; Dzeletovic *et al.*, 1995). For cross-sectional analysis, the first available values were used.

Derivation of fibroblasts

Dermal fibroblasts from a voluntary healthy donor unrelated to the study cohort (female, aged 46 years at biopsy) were cultivated as described in Hauser *et al.* (2016).

Generation of human induced pluripotent stem cells and neuronal differentiation

Induced pluripotent stem cells (iPSCs) were generated and characterized as described in Hauser *et al.* (2016) and differentiated into cortical neurons following a protocol published by Shi *et al.* (2012), with minor modifications (Supplementary material). Neural induction was initiated by addition of 3N medium (1:1 mixture of N2- and B27-containing media), supplemented with 10 μ M SB431542 and 500 nM LDN-193189 (Sigma-Aldrich). Medium was changed daily. At Day 10, cells were collected by dissociation with Accutase[®] (Life Technologies) and replated in 3N medium supplemented with 20 ng/ml FGF2 on Matrigel[®]-coated well plates. At Day 12, FGF2 was withdrawn and cells were further cultivated including medium changes to 3N medium every other day (Supplementary material).

Cultivation of NSC-34 cells

Cells were plated onto 96-well plates with a cell density of 5×10^4 cells per well and cultured for 3 days in Dulbecco's modified Eagle medium (DMEM) + 1% foetal calf serum (Gibco, Thermo Fisher Scientific) supplemented with 24-OHC, 25-OHC, 27-OHC or 3β -CA at concentrations varying from 0.5 nM to 50 μ M.

Cell proliferation and cytotoxicity assay

To measure metabolic activity and cytotoxicity, the colorimetric Cell Proliferation Reagent WST-1 assay and the Cytotoxicity Detection Kit LDH (Roche) were used. The absorbance of the developed dye was measured on a microplate reader at 450 nm or 490 nm, respectively with a reference wavelength of 650 nm after 2 h incubation (WST) or 1 h incubation (LDH). Substance control was 1% DMSO (27-OHC) or 1% ethanol (3β -CA) and as a positive control, cells were treated with 1% Triton[™] X-100 for 30 min prior to the assay.

Neurite outgrowth

After incubation with oxysterols (50 nM, 5 days) cells were fixated and immunostained against tau (AB75714, abcam/Alexa Fluor[®] 488 nm secondary goat-anti chicken, A11039, dilution 1:1000, Invitrogen, Thermo Fisher Scientific) and alpha-tubulin (T6074, Sigma-Aldrich/Alexa Fluor[®] 568 nm secondary goat-anti mouse antibody, A11004, dilution 1:1000, Invitrogen). Images were taken on the Zeiss Axio

Imager Z.1 microscope. Total neurite length measured in μm ($n = 50$) and total number of branching points per cell ($n = 50$) were quantified using the NeuronJ plugin of the ImageJ software (<http://imagej.nih.gov/ij/>).

Statistical analysis: natural history and biomarker studies

Quantitative variables are reported as mean and standard deviation (SD) (normally distributed data) or median and interquartile range (IQR). To compare categorical variables across groups we used logistic regression analysis. To identify predictors for the SPRS score and oxysterol concentrations we applied linear regression. The Kaplan-Meier method was used to describe censored data (walking aid use, wheelchair use) and Cox proportional hazard analysis was applied to assess the influence of age of onset on walking aid and wheelchair use. To assess the longitudinal progression rate, we performed linear regression analysis and quantified the SPRS increase over time in each patient. The average progression rate per year was calculated from the unstandardized regression coefficient. P -values ≤ 0.05 were considered statistically significant unless stated otherwise. SPSS Statistics version 21 (Chicago, IL, USA) (descriptive analysis, linear mixed and logistic model) for Windows and R release 3.2.2 (Cox model corrected for family clusters) were used for statistical calculations; JMP v11 (SAS, Cary, NC, USA) for Mac was used for graph generation.

Interventional trial

We designed a randomized placebo-controlled double-blind trial with atorvastatin (40 mg/day) for 9 weeks in adults. Children under 18 years of age received a reduced dosage of 20 mg/day.

Fourteen SPG5 patients aged 15–51 years were recruited by clinical centres of the German HSP Network in Tübingen and Munich and the neuromuscular clinic in Antwerp. All participants gave their written informed consent. Proband with clinically manifest HSP and a genetically confirmed diagnosis of SPG5 (Supplementary Table 1) older than 10 years were eligible to participate in the study. Exclusion criteria comprised treatment with statins 3 months prior to enrolment, contraindications to statin therapy according to the summary of product characteristics (hypersensitivity to atorvastatin, active liver disease), concomitant treatment with strong CYP3A4 inhibitors (e.g. amiodarone, clarithromycin, diltiazem, tamoxifen, verapamil) or fibrates within 7 days before enrolment, and an elevation of liver transaminases >3 times the upper limit of normal. Pregnancy was excluded in women of child-bearing age. All probands screened for participation were eligible for the study. Randomization was carried out in blocks of four using the online tool Randomization.com.

Primary endpoint of this study was the change of 27-OHC in serum after 9 weeks. Secondary outcome measures included change of 27-OHC in CSF as well as reduction of 24-OHC, 25-OHC and 3β -CA levels in serum and CSF. Additionally, bile acids were assessed using mass spectrometry according to standard protocols (Bjorkhem and Falk, 1983; Dzeletovic *et al.*, 1995). Blood and CSF samples were taken in the morning from fasting patients. Clinical outcome measures included

the change of the SPRS total score as a measure of disease severity in HSP (Schule *et al.*, 2006), the 3-min endurance walk (Crapo *et al.*, 2002; Iriberry *et al.*, 2002; Borg, 2016) and the physical cost index (PCI) (MacGregor, 1981) as a measure of physical exertion during prolonged activity.

Trial statistics

To obtain an estimate of the effect size of the treatment we treated four SPG5 patients with atorvastatin 40 mg over an 8-week period and measured oxysterol levels in serum ($n = 4$) and CSF ($n = 3$) before and after treatment (Supplementary Table 1 and Supplementary Fig. 3). All four patients responded to treatment with a decrease of serum cholesterol levels by an average of 38% (range 28–49%) from 193 mg/dl to 116 mg/dl. Serum 27-OHC, the selected primary outcome measure, decreased in all patients with an average reduction by 31% from 893 mg/dl before treatment to 617 mg/dl after treatment and dropped by 20% from 19.5 ng/ml to 15.2 ng/ml in CSF. Based on the thus determined large effect size, we assumed a small sample size and decided to use a one-sided Mann-Whitney U-test. Our power calculation determined that a sample size of six in each group had 80% power to detect a probability of 0.075 that an observation in the atorvastatin group was less than an observation in the placebo group using a Mann-Whitney U-test with a 0.050 one-sided significance level (NQuery 7.0).

The statistical analyses included all randomized patients (intention-to-treat). As no major protocol violations were observed, no per-protocol analyses were performed. Data were complete for all patients, rendering missing data replacement unnecessary. The secondary outcomes were compared and statistically assessed using two-sided Mann-Whitney U-tests with explorative intention. Changes of outcome variables within groups from baseline to 9 weeks were compared and statistically assessed using sign rank tests. The demographic variables were described using frequencies for qualitative variables and median/range for quantitative variables. Mann-Whitney U-tests and Fisher's exact tests were used to compare the demographics between the two groups. SAS software, version 9.2 was used for statistical analysis; graphs were generated with JMP 11 for Mac (SAS Institute).

Study approval

The study was approved by the Medical Ethics Board of the University of Tübingen (vote 247/2015) and the national regulatory institution (Bundesamt für Arzneimittel und Medizinprodukte – BfArM) and was registered as EudraCT 2015-000978-35.

Results

Phenotypic spectrum

We collected 34 genetically confirmed SPG5 cases from 28 families, six of which have been previously published with limited clinical information (Families F1–F5; Supplementary Table 1) (Schule *et al.*, 2009, 2010). Age at onset varied considerably between ages 1 to 63 with a median age at

onset of 13 years (IQR 6–33). Although SPG5 would be classified as ‘pure’ HSP according to the Harding criteria (Harding, 1983), in most of our cases (26/34, 76%), there were several discriminating features even in the so-called pure cases. Dorsal column sensory deficits were unusually severe compared to other types of HSP (Schule *et al.*, 2016), often presenting as loss of vibration sense and deficits of joint position sense of the lower extremities. Consequently, a high percentage of SPG5 patients had afferent gait ataxia and/or lower limb ataxia (16/34, 47%). Urge incontinence or voiding affected 55% of SPG5 patients; additionally, 15% of SPG5 patients reported rectal urge symptoms and faecal incontinence. Behavioural abnormalities including panic disorder, substance abuse and attention deficit hyperactivity disorder (ADHD) were observed in three cases. Other co-morbidities included developmental dysplasia of the hip ($n = 4$), cluster headache ($n = 1$), early ovarian failure ($n = 1$), and grand mal seizures ($n = 1$) (Table 1 and Supplementary Table 1).

Mutation spectrum of SPG5

Among the 24 different mutations we identified in the 28 families included in this study, 11 mutations were novel (Supplementary Table 2). As loss-of-function is the established disease mechanism in SPG5 (Schule *et al.*, 2010), we assumed all novel truncating mutations to be pathogenic ($n = 5$). The remaining six novel missense variants affected without exception highly conserved amino acid residues, were predicted to be pathogenic by several *in silico* prediction algorithms and absent from public databases (dbSNP, Exome Variant Server EVS, Exome Aggregation Consortium Browser ExAC) (Supplementary Table 3). Variants were confirmed to segregate following an autosomal-recessive inheritance pattern in all families.

Truncating mutations are associated with an earlier age at onset

In our cohort, 16 cases carried solely missense mutations and 18 cases carried at least one truncating mutation of the *CYP7B1* gene. Presence of truncating mutations advanced disease onset by two decades (Fig. 1A).

Natural history

Clinical measures of disease progression

SPRS, timed walking tests and walking aid dependency

Little is known about disease progression in SPG5. Median disease severity on the SPRS was 22 points (available for 31 cases, IQR 12–29) after a median disease duration of 13 years (IQR 9–28). The cross-sectional progression rate, obtained by dividing the SPRS score by the disease duration in each individual, was 0.56 SPRS points per year (Fig. 1B).

To analyse the time from symptom onset to walking aid and wheelchair dependency in SPG5 we performed a

Table 1 Clinical and neurophysiological summary characteristics of the SPG5 cohort

Number of patients/families	34 affected/ 28 families
Gender	16 male / 18 female
Age at onset^a	13 (6–33)
Age at first examination	40 (23–47)
Disease duration at first examination^a	13 (9–25)
SPRS^a	22 (12–29)
Harding classification	26 pure / 8 complicated
Ocular/oculomotor abnormalities	Optic atrophy 1/34 (3%) Gaze evoked nystagmus 4/34 (12%) Saccadic pursuit 3/34 (9%)
Dysarthria/dysphagia	Pseudobulbar dysarthria 1/34 (3%) Dysphagia 1/34 (3%)
Ataxia	Upper limb ataxia 2/34 (6%) Lower limb/gait ataxia 16/34 (47%)
Spasticity	Upper limb 8/34 (24%) Lower limb 32/34 (94%)
Weakness	Upper limb (reduced fine motor movements) 1/34 (3%) Lower limb 29/34 (85%) None 5/34 (15%)
Tendon reflexes	Upper limb increased 13/34 (38%) Lower limb increased 33/34 (97%)
Plantar response	Extensor 34/34 (100%)
Muscle atrophy	Intrinsic hand muscles 2/34 (6%)
Vibration sense	Decreased 30/32 (94%)
Joint position sense	Reduced 24/33 (73%)
Surface sensation	Upper limb reduced 1/34 (3%) Lower limb reduced 17/34 (50%) Normal 17/34 (50%)
Temperature discrimination	Lower limb reduced 9/28 (32%)
Bladder	Voiding and/or urge incontinence 18/33 (55%)
Rectum	Urge incontinence 5/33 (15%)
Others	Epilepsy, panic disorder, substance abuse, cluster headache, early ovarian failure, congenital hip dysplasia

^aMedian (IQR).

Kaplan-Meier analysis. Data were available for 23 SPG5 patients, 11 of whom were walking aid-dependent and six of whom were wheelchair-dependent at the end of the study. Median disease duration until walking aid dependency was 23 years (IQR 12–33) and median age at walking aid dependency was 37 years (IQR 28–43 years) (Fig. 1E). Later age of onset and higher SPRS scores were associated with a higher risk to become walking aid-dependent [age of onset: $B = 0.14$, 95% confidence interval (CI) 0.05–0.28, $P = 0.0027$; SPRS: $B = 0.12$, 95% CI 0.03–0.23, $P = 0.0092$]. Wheelchair dependency occurred in SPG5 after a median disease duration of 33 years (Fig. 1F) (for more details and discussion see Supplementary material).

In patients who were still able to walk a 10 m distance and/or climb 10 steps, the time needed to perform these tasks (item 3/item 5 of the SPRS) was a good predictor

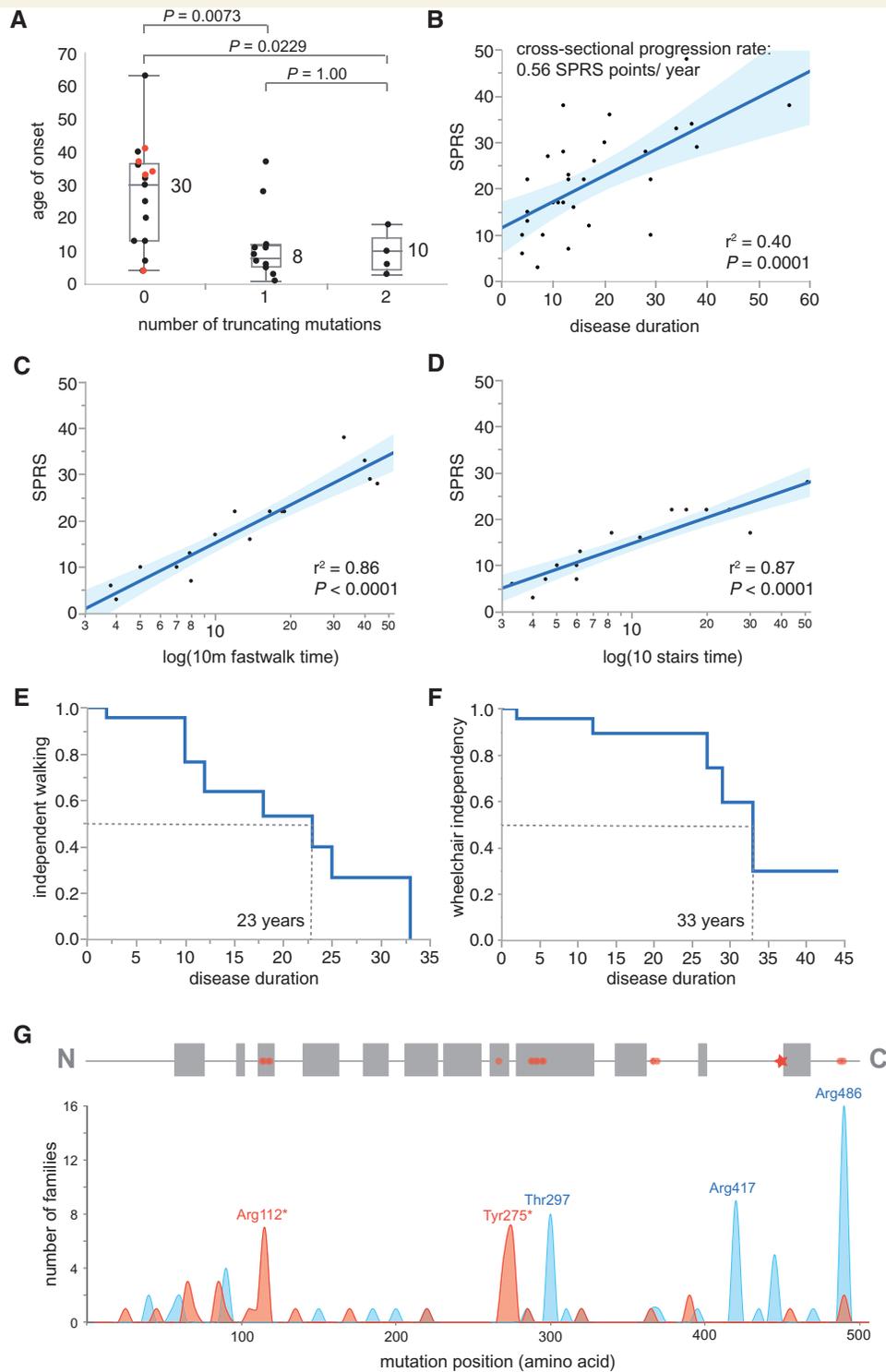


Figure 1 Cross-sectional and time-to-event analyses of disease severity and progression. **(A)** Age of onset distribution: patients with bi-allelic missense mutations had a later age of onset [median 30 years (IQR 13–37)] than those with either one [median 8 years (IQR 5–12)] or two [median 10 years (IQR 5–14)] truncating mutations (Mann-Whitney U-test, two-sided). The median age of onset in the total cohort was 13 years (IQR 6–32). Patients carrying the Arg486Cys mutation on both alleles are marked by red dots. With the exception of one case (Patient F10.1), all carriers of the homozygous Arg486Cys mutation manifested beyond the age of 30 years. Notably, all carriers of an Arg486Cys allele, which has a minor allele frequency of 0.1% in the European population while it is absent in the Latino population, were of European descent. **(B)** SPRS score by disease duration: disease severity as measured by the SPRS strongly correlated with disease duration in this cross-sectional cohort of SPG5 cases ($n = 31$). The fitted cross-sectional progression rate (SPRS points per year with the disease) is 0.56/year. The 95% confidence interval of the modelled fit is shaded in blue. **(C)** Fast walk (10 m) by SPRS: the time needed to cover a 10 m distance on a flat surface including one turn (item 3 of the SPRS) strongly correlated with the SPRS ($n = 19$). The best fit was reached after logarithmic transformation of

(continued)

of the SPRS total score (Fig. 1C and D). The logarithm of the 10 m fast walk time (item 3) and the time needed to climb 10 steps (item 5) explain 86% and 87% of the variability of the SPRS total score ($P < 0.0001$), respectively.

Longitudinal disease progression: SPRS

To determine longitudinal disease progression rates, we performed prospective follow-up examinations. A total of 65 SPRS examinations were available in 21 patients with a median follow-up interval of 12.4 months (IQR 8.1–24.3) and a median total follow-up time (first to last) of 31.0 months (IQR 15.0–53.8). To determine the prospective progression rate, we performed a linear mixed model with SPRS score as dependent variable and disease duration at examination as continuous covariate. This approach yielded a prospective progression rate of 0.80 SPRS points per year (95% CI 0.59–1.01, $P < 0.0001$; Fig. 2A).

Association of age of onset, disease duration, and genotype with disease severity

To determine which factors influence disease severity in SPG5 we performed a linear mixed model with the SPRS score as dependent and disease duration, age of onset, gender, and mutation type (truncating/missense) as independent variables.

No significant effects were observed for age of onset, gender or mutation type, neither when entered in the model alone [age of onset: unstandardized regression coefficient $B = -0.14$, 95% CI -0.63 – 0.35 , $P = 0.56$; gender (female): $B = 4.24$, 95% CI -6.93 – 15.41 , $P = 0.44$; mutation type (missense): $B = -9.55$, 95% CI -19.93 – 0.82 , $P = 0.069$], nor when entered together with disease duration. However, for medium and long disease durations (medium and upper tertile of the disease duration spectrum) higher age of onset was associated with more severe disease (SPRS). This was not true for shorter disease durations (lower tertile of the disease duration spectrum; interaction $P = 0.013$; Fig. 2E–G). Disease duration was thus the most relevant predictor for disease severity, with additional predictive information contributed also by age of onset.

Serum and cerebrospinal fluid biomarkers

Oxysterol levels in serum and cerebrospinal fluid

25- and 27-OHC as well as 3β -CA are substrates for 7α -hydroxylase CYP7B1. We have previously shown in a small cohort of four SPG5 patients that mutations of CYP7B1 lead to marked accumulation of oxysterols in serum and CSF (Schule *et al.*, 2010). We here found 25-OHC levels in serum to be elevated almost 90-fold compared to healthy controls and levels of 27-OHC and 3β -CA to be increased ~ 6 -fold each (Table 2). Levels of 24S-OHC, another side-chain-oxidized cholesterol metabolite that is not metabolized by CYP7B1, were unaltered.

In CSF of SPG5 patients, 27-OHC levels were increased ~ 25 -fold and 3β -CA was increased to ~ 9 -fold over normal levels while 24-OHC levels were unchanged (Table 2).

In heterozygous mutation carriers, a similar pattern was identified, albeit with less pronounced changes. 27-OHC was elevated 1.5-fold in serum and ~ 5 -fold in CSF and 25-OHC and 3β -CA were also moderately elevated (Table 2).

Correlation of oxysterols with disease severity

Next, we explored whether oxysterol levels in serum and CSF were correlated with clinical measures. Among all oxysterols tested (24-OHC, 25-OHC, 27-OHC, 3β -CA), only serum 27-OHC was found to be significantly associated with disease severity as measured by the SPRS score ($r^2 = 0.36$; $P = 0.007$; $n = 19$) (Fig. 2C). When testing for association with disease duration, again only serum 27-OHC was found to be significantly associated with disease duration ($r^2 = 0.33$; $P = 0.010$) after adjusting the significance level for multiple testing (Fig. 2D).

Pathophysiological relevance of oxysterols in SPG5

We investigated the effects of increasing concentrations of 24S-OHC, 25-OHC, 27-OHC and 3β -CA on viability and metabolic activity of motor neuron like cells (NSC-34) as well as cortical neurons derived from human iPSCs. In

Figure 1 Continued

the x-axis. This measure is valid only for patients that are still able to walk and was accordingly not applicable in three cases (Patients F1.1, F14.1 and F14.2). (D) Ten steps of stairs by SPRS: the time needed to navigate 10 steps including one turn with or without support of the banister (item 5 of the SPRS) strongly correlated with the SPRS ($n = 19$). The best fit was reached after logarithmic transformation of the x-axis. This measure was available only for cases still able to walk steps and therefore not applicable in three cases (Patients F1.1, F14.1 and F14.2). (E) Loss of independent ambulation: Kaplan-Meier analysis indicating the probability of SPG5 cases to become dependent on a walking aid (blue line). The median disease duration until SPG5 cases depend on a walking aid is 23 years ($n = 23$). (F) Wheelchair dependency: Kaplan-Meier analysis indicating the probability of SPG5 cases to become dependent on a wheelchair (blue line). The median disease duration until SPG5 cases depend on a wheelchair is 33 years ($n = 23$). (G) Mutation spectrum in SPG5. *Top*: Structure of the CYP7B1 protein: The CYP7B1 gene encodes the 506 amino acid protein hydroxycholesterol 7- α -hydroxylase (NP_004811). Several alpha helices are predicted for CYP7B1 (Cui *et al.*, 2013) (marked by grey boxes). Predicted active site residues are labelled by red circles; the heme binding site (Cys449) is marked by an asterisk. *Bottom*: Frequency of mutations in SPG5. The histogram shows the frequency of published mutations including this study in SPG5 families. Truncating mutations (nonsense, frameshift, splice) are depicted in red, missense mutations and inframe insertions/deletions in blue. There are several mutational hotspots in SPG5; the amino acid residues most commonly affected by missense mutations are the active site residue Arg486 (16 families), Arg417 (nine families) and Thr297 (eight families).

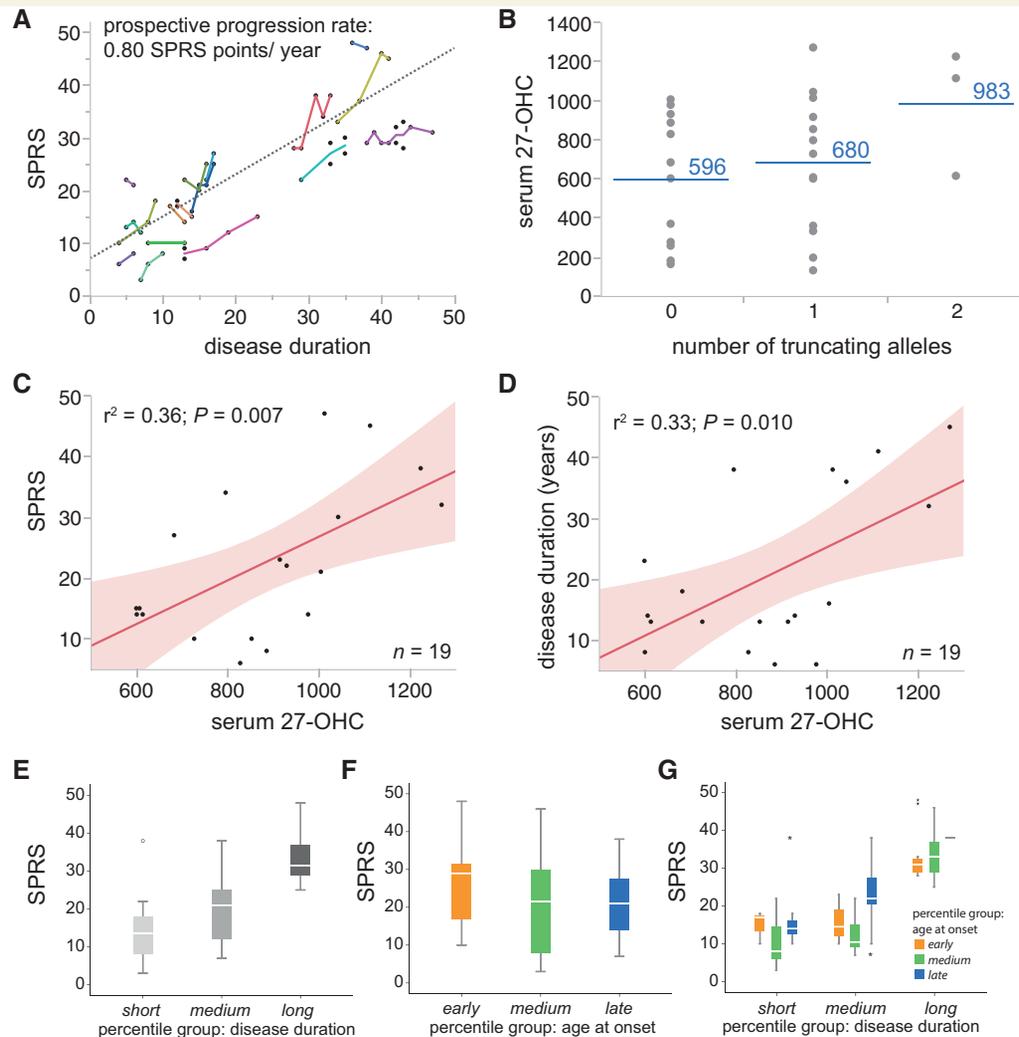


Figure 2 Longitudinal disease progression rate, factors influencing disease severity and oxysterol association with clinical parameters. **(A)** Longitudinal follow-up examinations in 21 SPG5 patients over a median follow-up interval of 12.4 months. The superimposed dotted grey line indicates the prospective progression rate of 0.80 SPRS points per year; derived from a linear mixed model with SPRS score as dependent variable and disease duration at examination as fixed effect. **(B)** Levels of 27-OHC (in serum) in dependence on the mutation type. Patients that carry missense mutations on both alleles have lower levels of 27-OHC in serum than cases with mono- or bi-allelic truncating mutations. Blue lines indicate median 27-OHC levels in serum. **(C and D)** Higher levels of 27-OHC in serum are associated with more severe disease and explain 36% of the variability of the SPRS score ($P = 0.007$). In cross-sectional data obtained from 19 SPG5 patients serum 27-OHC levels are furthermore higher at later disease stages ($r^2 = 0.33$; $P = 0.010$). **(E)** Disease severity increases with longer disease durations. Groups 'short', 'medium' and 'long' correspond to subgroups of the total cohort classified into tertiles ($n = 19$). **(F)** No differences of disease severity are observed depending on age of onset tertiles when considering the total cohort ($n = 19$). **(G)** In the subgroups with medium and long disease duration, later onset is associated with more severe disease ($n = 19$).

summary, all tested oxysterols interfere with metabolic activity and are cytotoxic at certain concentrations (Fig. 3). The relationship between oxysterol concentration and impact on metabolic activity and cell viability hereby appears to be linear. However, only 25-OHC and 27-OHC are harmful at concentrations comparable to levels measured in serum of SPG5 patients, whereas 3β -CA and 24-OHC exert their toxic effects only at considerably higher concentrations (3β -CA: ~ 60 -fold patient levels; 24-OHC: ~ 35 -fold patient levels). Hereby, cortical neurons surprisingly tolerated higher 27-OHC and 3β -CA concentrations than NSC-34 cells (Supplementary material).

Effects of oxysterol exposure on morphology

NSC-34 cells exposed to oxysterols (Supplementary Fig. 2) showed a significant reduction in total neurite length as well as branching points.

Randomized placebo-controlled clinical trial

As cholesterol and 27-OHC levels are closely related (Thelen *et al.*, 2006), we hypothesized that treatment of SPG5 patients with HMG-CoA reductase inhibitors can

Table 2 Oxysterol levels in SPG5 patients, heterozygous carriers and controls

	SPG5 patients	Heterozygous carriers	Controls
Serum	<i>n</i> = 19	<i>n</i> = 11	Dzeletovic <i>et al.</i> (1995); Norlin <i>et al.</i> (2000)
24-OHC (ng/ml) ^a	57.5 ± 9.2 (range 39–70)	59.4 ± 18.2 (range 31–88)	64 (range 30–127)
25-OHC (ng/ml) ^a	177.9 ± 77.0 (range 91–318)	15.4 ± 5.3 (range 8–26)	2 (range 0–11)
27-OHC (ng/ml) ^a	878.2 ± 207.1 (range 600–1270)	238.5 ± 83.4 (range 131–369)	154 (range 89–243)
3β-CA (ng/ml) ^a	363.1 ± 82.1 (range 226–514)	125.5 ± 50.1 (range 64–239)	59 SD 16
CSF	<i>n</i> = 17	<i>n</i> = 2 ^b	
24-OHC (ng/ml) ^a	1.1 ± 0.4 (range 0.5–1.8)	2.0 (1.8 2.1)	1.4 (0.8–2.4)
27-OHC (ng/ml) ^a	12.9 ± 4.3 (range 6.5–25.2)	2.4 (1.9 2.9)	0.5 (0.5–0.8)
3β-CA (ng/ml) ^a	18.3 ± 5.7 (range 7.8–27.8)	2.1 (1.8 2.3)	<2 ng/ml

^aMean ± standard deviation (SD)

^bIndividual values are given in brackets.

25-OHC levels in CSF are close to the detection limit and are therefore not reported. N/A = not applicable.

lower the pathologically elevated levels of 25-OHC, 27-OHC, and 3β-CA. To test this hypothesis, we initiated a randomized placebo-controlled clinical trial (Statin Therapy of Oxysterol Pathology in SPG5; STOP-SPG5) with atorvastatin tested against placebo in a total of 14 SPG5 patients. No demographic differences were recognized between the verum and placebo groups at baseline (Supplementary Table 4). Atorvastatin was given at a dosage of 40 mg/day for 9 weeks in adults and 20 mg/day in children under 18 years of age.

Safety and tolerability

Atorvastatin was well tolerated, leading to a 0% drop-out rate. Compliance rate was high (mean 98%, minimum 89%) based on returned medication counts. A total of five adverse events were reported, including three in the placebo group (*n* = 2 post-dural-puncture headache; *n* = 1 stomach flu) and two in the verum group [*n* = 1 GGT elevation from 109 to 119 U/l (P10); *n* = 1 prolonged menstrual bleeding]. None of the adverse events was considered to be in causal relationship to the investigational drug. No serious adverse events were observed. Liver transaminase levels (AST, ALT, GGT) did not change significantly with treatment. Creatine kinase levels remained within normal limits in all probands before and after treatment (Supplementary Table 5).

Oxysterol response in serum and cerebrospinal fluid

Cholesterol in serum was lowered by 40% (range 35–48%) in the atorvastatin but not in the placebo group (two-sided *P* < 0.001, Mann-Whitney U-test; Table 3 and Fig. 4A). Atorvastatin also significantly reduced serum 27-OHC (*P* = 0.001) compared to placebo; the primary study endpoint was thus reached. Similarly, atorvastatin—but not

placebo—reduced 24S-OHC (*P* < 0.001) and 25-OHC (*P* = 0.002) in serum. The effect was strongest for 27-OHC with a median reduction of 31.5% (range 16–42%) and could be demonstrated in every single proband of the atorvastatin group. In contrast, 3β-CA levels showed a rather large variability in the atorvastatin as well as the placebo group with reduction in some and increase of levels in other patients with no significant difference between groups (Table 3 and Fig. 4C).

In contrast, no significant difference in the changes of CSF levels of 24S-OHC, 27-OHC, or 3β-CA was observed with atorvastatin compared to placebo (Table 3 and Fig. 4D).

Effects on clinical outcome parameters

As expected in this short-term trial, no effects of atorvastatin were seen on clinical parameters either in the SPRS (*P* = 0.735) or in walking distance in the 3-min endurance walk (*P* = 0.135), or the physical cost index (*P* = 0.530) (Supplementary Table 6).

Discussion

SPG5 is an ultra-rare disease with an estimated prevalence of about 1:1 000 000 (Schule *et al.*, 2016) and a total number of 56 published families (Tsaousidou *et al.*, 2008; Biancheri *et al.*, 2009; Criscuolo *et al.*, 2009; Goizet *et al.*, 2009; Schule *et al.*, 2009; Cao *et al.*, 2011; Schlipf *et al.*, 2011; Arnoldi *et al.*, 2012; Noreau *et al.*, 2012; Kumar *et al.*, 2013; Roos *et al.*, 2013; Di Fabio *et al.*, 2014; Lan *et al.*, 2015). Multiple factors, including low public awareness for the disease, small numbers of patients available for clinical trials and limited market size, impede development of disease-specific therapies. However, an

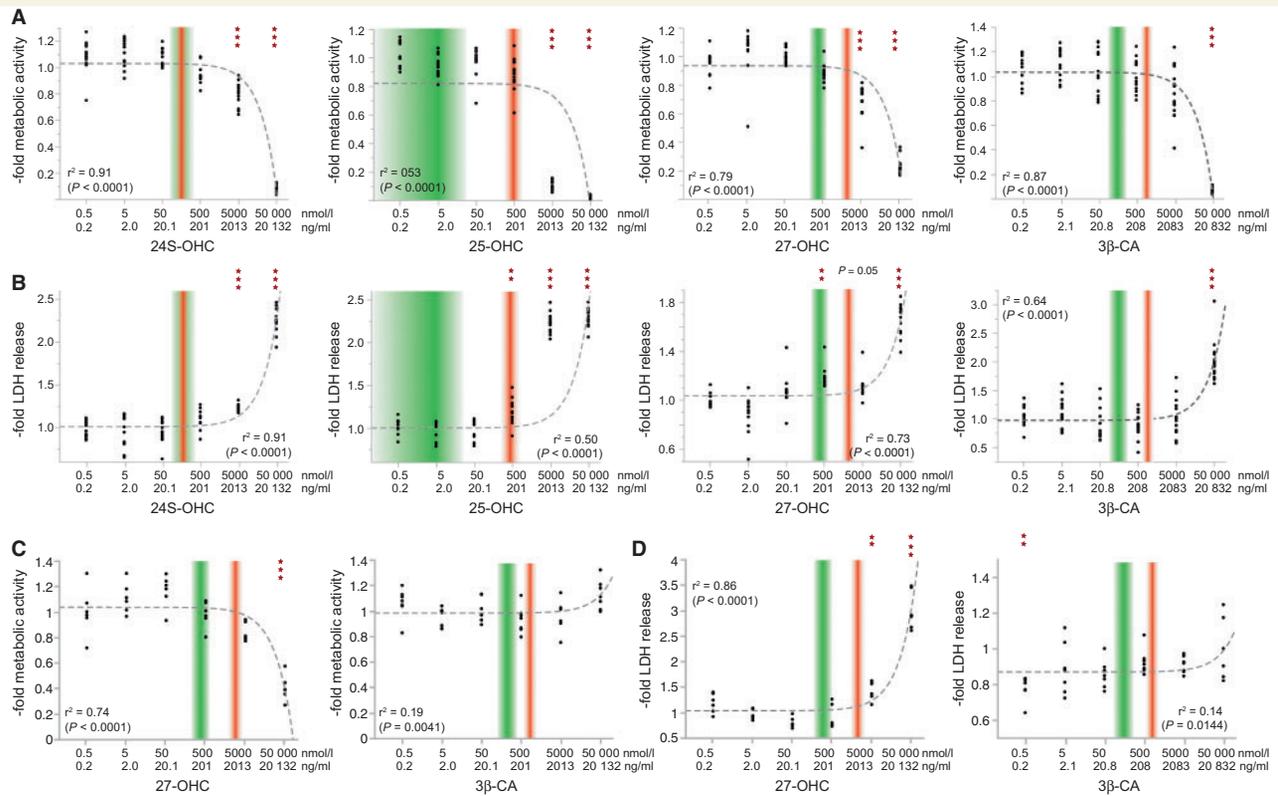


Figure 3 Neurotoxicity of oxysterols. The motor neuron-like cell line NSC-34 (**A** and **B**) as well as cortical neurons derived from iPSCs (**C** and **D**) were exposed to increasing concentrations of 24S-OHC, 25-OHC, 27-OHC and 3 β -CA. (**A** and **C**) Metabolic activity was assessed by measuring the cleavage of the tetrazolium salt WST-I in a colorimetric assay. (**B** and **D**) LDH-release in the medium was analysed as a measure of cytotoxicity. The range of oxysterol concentration in the serum of healthy controls is indicated by shaded green boxes. Shaded red boxes show the range of values observed in SPG5 patients. Dotted grey lines are fitted following a linear regression model. The horizontal axis is log-scaled.

improved understanding of the pathogenesis following the discovery of the commonly monogenetic aetiology work to the advantage of orphan diseases and sometimes allow ‘personalized’ treatment approaches with registered drugs or well characterized compounds. SPG5 is an ideal candidate for such an approach as CYP7B1 deficiency affects the well-studied and druggable bile acid synthesis pathway.

Phenotype and disease progression

Here, we report on a cohort of 34 patients from 28 families. SPG5 typically manifests within the first two decades of life. The majority of carriers of missense mutations on both alleles however typically manifest in adulthood (Fig. 1A). This phenomenon might be explained by higher residual enzymatic activity of CYP7B1, leading to lower levels of 27-OHC in serum (Fig. 2B). The clinical phenotype of SPG5 is characterized by slowly progressive spastic paraplegia accompanied by rather severe dorsal column sensory deficits manifesting as gait ataxia that exceeds the degree of sensory deficits commonly seen in HSP (Schule *et al.*, 2016). Although the SPG5 phenotype is rather homogenous, there is considerable overlap with other pure or oligosystemic forms of HSP, hampering phenotype-based genotype prediction on a single case basis.

SPG5 progresses with a rate of 0.56 SPRS points per year in our cross-sectional cohort; this progression rate is slightly lower than the longitudinal progression rate of 0.8 SPRS points per year obtained in a smaller sub-cohort of 21 SPG5 cases. As the cross-sectional progression rate relies on the self-reported age of symptom onset, the longitudinal progression rate is more likely to reflect the true progression of SPG. Walking aid dependency occurred after a median of 23 years in SPG5; in this respect SPG5 therefore behaves almost identically to HSP in general (median 22 years in Schule *et al.*, 2016). The median duration until wheelchair dependency however was 33 years in SPG5; in a general HSP cohort, only a quarter of patients became wheelchair-dependent in a comparable timeframe (first quartile 37 years in Schule *et al.*, 2016). SPG5 patients may therefore be more likely than other HSP patients to become wheelchair-dependent.

Oxysterols are valuable biomarkers in SPG5

The mechanism of action for all mutations tested so far appears to be loss of oxysterol-7 α -hydroxylase enzymatic function. This was demonstrated by an elevation of the

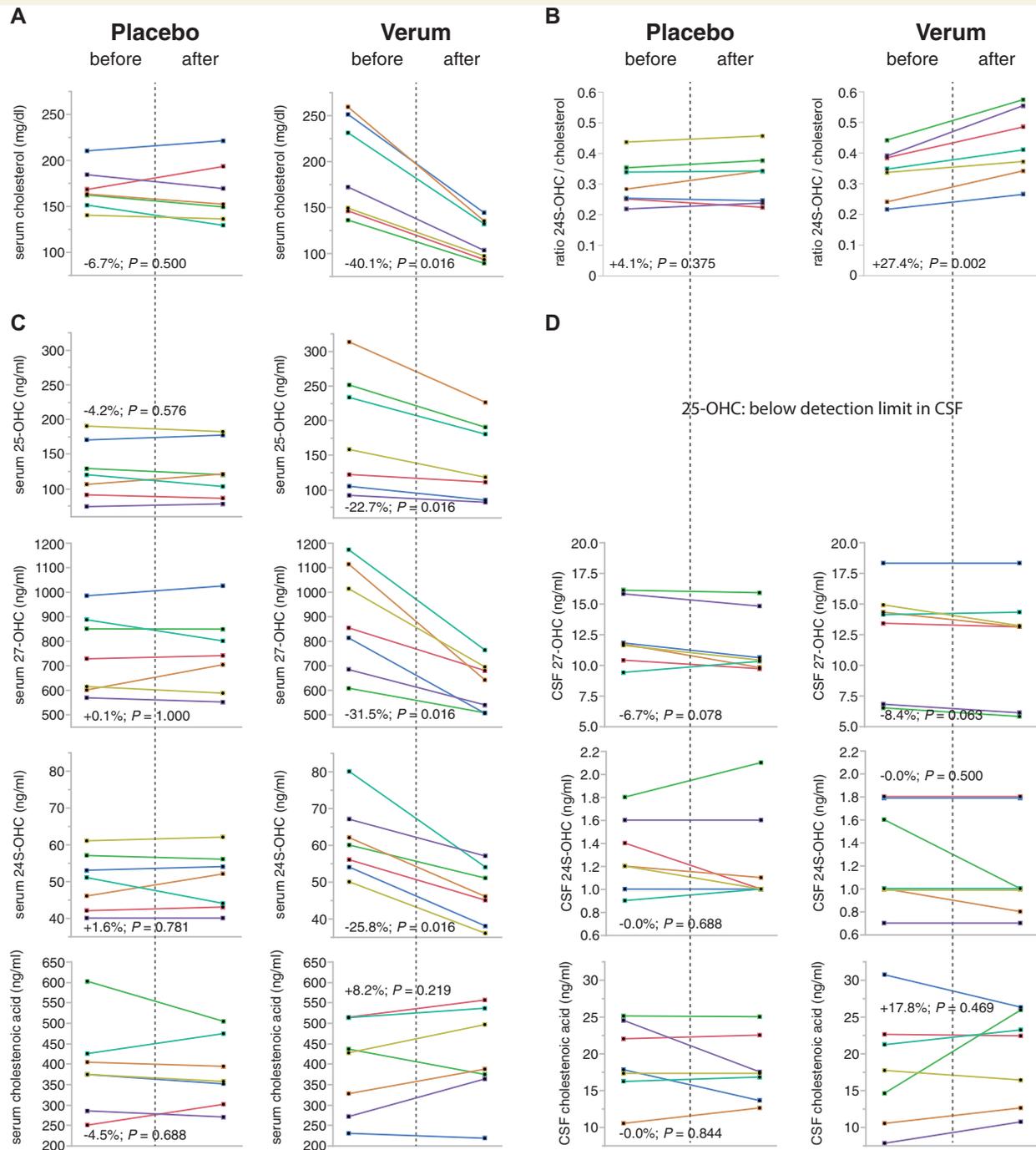


Figure 4 Individual treatment responses. Individual levels of cholesterol and oxysterols before and after treatment with placebo (left column) or atorvastatin (right column). Data from serum analyses are given in (A and C) and for CSF in D. The mean change of values across the group and the P -value for a sign rank test is given for each analyte. The ratio between brain-derived 24S-OHC and cholesterol (B) can be used as an indirect indicator of station effects on brain cholesterol metabolism (Thelen *et al.*, 2006).

also lower elevated oxysterol levels (Schule *et al.*, 2010). Following up on this concept, Mignarri *et al.* (2015) reported a decrease of 27-OHC (in serum) levels by up to 55% after variable treatment regimens with cholesterol-lowering drugs in single patients. Systematic studies of this effect in a controlled trial setting, however, were

missing. Moreover, it had not been demonstrated whether the decrease of 27-OHC in serum translates into the CSF compartment. We therefore performed a randomized, double-blind, placebo-controlled trial and administered the cholesterol-lowering drug atorvastatin or placebo to SPG5 patients. Serum 27-OHC reduction was selected as

primary outcome as (i) we have demonstrated 27-OHC neurotoxicity on patient-derived cortical neurons when added to the culture medium at concentrations similar to those found in serum of SPG5 patients (Fig. 3); and (ii) serum 27-OHC correlates with disease duration and severity (Fig. 2C and D). Our study demonstrates that 9 weeks of atorvastatin treatment indeed leads to a significant reduction of 27-OHC levels in serum by 31.5%. Additionally, both 24S-OHC and 25-OHC were reduced by atorvastatin treatment, not 3 β -CA, however, a downstream metabolite of 27-OHC. The most likely explanation for this observation is that low cholesterol levels favour the production of 3 β -CA from 27-OHC (Pikuleva *et al.*, 1998), thus shifting the 3 β -CA /27-OHC balance towards 3 β -CA.

Despite this clear treatment effect, the moderate dose of atorvastatin we chose for this trial (40/20 mg) only partially normalized oxysterol levels in SPG5. 27-OHC levels after treatment (median 641 ng/ml) were still higher than levels measured in healthy heterozygous carriers of CYP7B1 mutations (median 239 ng/ml; Table 2). The threshold levels of oxysterols that lead to neurotoxicity after long-term exposure are unknown other than that the elevated levels observed in heterozygous carriers seem to be tolerated in the long run. Increase of the atorvastatin dose alone most likely won't lead to a substantially higher treatment effect as increase of atorvastatin from 40 mg to 80 mg is expected to add only another 5% to the cholesterol lowering effect (Silva *et al.*, 2007; Nicholls *et al.*, 2010) and may have even less effect in normolipidaemic subjects (Cilla *et al.*, 1996; Millar *et al.*, 2010). Combination of a statin with ezetimibe, which inhibits cholesterol resorption, however, might lead to a more pronounced treatment effect. Indeed, a 55% reduction of serum 27-OHC was demonstrated in a single case treated with simvastatin and ezetimibe combination therapy over a 12-month period (Mignarri *et al.*, 2015).

Under physiological conditions, most of the 27-OHC present in CSF originates from the circulation (Leoni *et al.*, 2003). Serum levels of 27-OHC are ~300-fold higher than CSF levels (~150 ng/ml in serum versus ~0.5 ng/ml in CSF) (Meaney *et al.*, 2001); diffusion is the most likely driving force for the influx of 27-OHC into the CSF. We thus expected atorvastatin to affect CSF oxysterol levels to a similar extent as serum levels. We were, however, surprised to find no significant effect of atorvastatin on CSF metabolites compared to placebo. The well-established relation between plasma cholesterol and brain oxysterol levels (Leoni *et al.*, 2003; Heverin *et al.*, 2005) as well as the relation between plasma and CSF levels of 27-OHC (Leoni *et al.*, 2003) appear to be abrogated in SPG5. This could be explained by (i) a reduced permeability of the blood–brain barrier in SPG5 patients. Normal serum/CSF albumin ratios before as well as after treatment, however, suggest integrity of the blood–brain barrier also in SPG5 patients; (ii) an increased half-life of 27-OHC in the CNS due to the genetic CYP7B1 deficiency. Other than the liver, in which 27-OHC can alternatively be metabolized by

other enzymes, e.g. the cholesterol-7- α -hydroxylase (CYP7A1) (Norlin *et al.*, 2000), the brain likely relies heavily on CYP7B1 function to metabolize 27-OHC (Fig. 5). In fact, the efficient turnover of 27-OHC in brain under physiological conditions with a half-life of less than an hour may be the true 'driving force' behind the net flux of 27-OHC from the circulation to the brain. It is well documented that the levels of CYP7B1 in the brain are unusually high in relation to other organs (Stapleton *et al.*, 1995). In SPG5, CYP7B1 metabolism therefore may be more compromised in brain compared to the periphery, leading to over-proportional accumulation of 27-OHC and other oxysterols in CSF compared to the serum compartment (~30-fold increase in the CSF compared to ~6-fold increase in serum; Table 2); (iii) *in situ* production of 27-OHC in the brain may contribute more than previously thought to the total pool of 27-OHC in the CNS. The brain contains some sterol 27-hydroxylase (CYP27A1) (Meaney *et al.*, 2007) and thus has the capacity to convert cholesterol into 27-OHC. The ratio between the brain-derived cholesterol metabolite 24S-OHC and serum cholesterol increased with atorvastatin treatment in the verum group (Fig. 4B) (Thelen *et al.*, 2006), thus indicating that brain cholesterol may be less affected by atorvastatin treatment than serum cholesterol. This may limit the effect of atorvastatin treatment on *in situ* production of 27-OHC in the brain.

Additionally, the clinical effect of statin treatment remains to be shown. Given the slowly progressive nature of the disease, long-term follow-up of SPG5 patients on statin treatment is required. This is now on the way in our cohort and will help to decide whether the promising effect of atorvastatin on oxysterols in serum translates into a positive influence on the disease course in SPG5 patients.

In conclusion, this study demonstrates:

- (i) Side-chain oxidized oxysterols and 3 β -CA are consistently elevated in serum and CSF of SPG5 patients and can thus be used to validate the pathogenicity of variants of unknown significance in CYP7B1. Moreover, higher levels of 27-OHC are associated with longer disease durations and more severe disease.
- (ii) Side-chain oxidized oxysterols like 24-OHC, 25-OHC and 27-OHC are toxic in neuronal cell cultures. 27-OHC especially appears to be deleterious in concentrations close to those found in SPG5 patients. This supports a key pathogenic role for 27-OHC in SPG5 and puts forth 27-OHC as a biomarker in interventional trials.
- (iii) Short-term treatment with atorvastatin significantly lowers the levels of the toxic metabolite 27-OHC in serum of SPG5 patients (primary endpoint) and therefore provides proof-of-principle for a first causal treatment strategy in SPG5.
- (iv) Genetic stratification allowed us to conduct this sufficiently powered randomized controlled clinical trial with only seven cases per treatment arm, thus

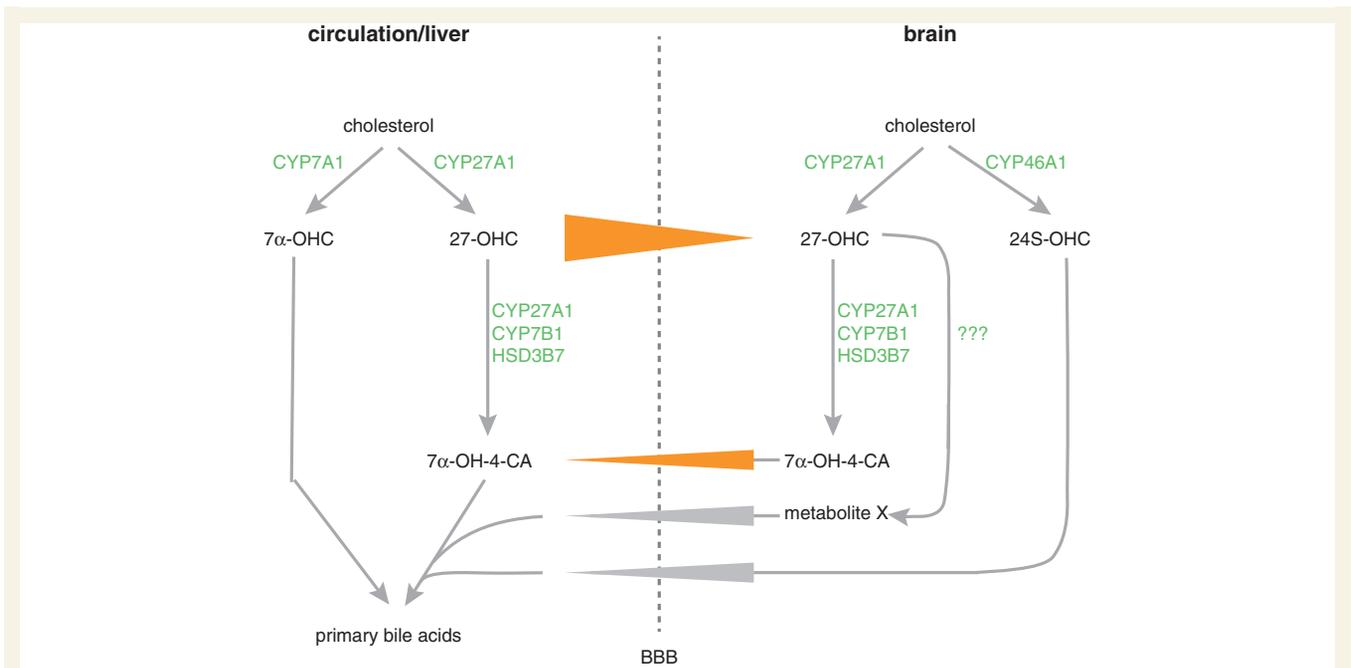


Figure 5 Oxysterol flux across the blood–brain barrier. 27-OHC is produced both in the periphery and the CNS by 27 α -hydroxylation of cholesterol. A net flux of 27-OHC from the circulation to the CNS has been demonstrated (Heverin *et al.*, 2005), that is most likely driven by the concentration gradient between blood and CSF (\sim 150 ng/ml 27-OHC in serum versus \sim 0.5 ng/ml 27-OHC in CSF). To eliminate 27-OHC from the CNS, it is metabolized to 7 α -hydroxy-3-oxo-4-cholestenic acid (7 α -OH-4-CA), requiring the action of three key enzymes: sterol 27-hydroxylase (CYP27A1), oxysterol 7 α -hydroxylase (CYP7B1), and 3 β -hydroxy-C27-steroid dehydrogenase/isomerase (HSD3B7). 7 α -OH-4-CA is transferred efficiently across the blood–brain barrier (Meaney *et al.*, 2007). However, the flux of 7 α -OH-4-CA from the brain is too low as to fully explain the elimination of 27-OHC from the CNS (Meaney *et al.*, 2007). Further routes to metabolize and excrete 27-OHC to the circulation therefore have to be assumed (see ‘metabolite X’ in the figure). BBB = blood–brain barrier.

leveraging the advantage of monogenetic diseases over more common neurodegenerative disorders that often comprise pathophysiologically heterogeneous groups of patients.

- (v) Due to the presumed long half-life of 27-OHC in CSF of SPG5 patients, longer treatment durations will be necessary until the serum reduction of 27-OHC translates to a concomitant reduction of 27-OHC in the CSF compartment. The well-established relation between plasma cholesterol and brain oxysterol levels under physiological conditions needs to be reconsidered in SPG5.
- (vi) The short-term reduction of 27-OHC in serum by \sim 31% appears not to be sufficient to correct accumulation of 27-OHC in the CSF. A more marked reduction of 27-OHC in the circulation will likely be necessary to reverse the concentration gradient between circulation and the CNS.
- (vii) Our study clearly shows the importance to measuring CSF in addition to serum levels of oxysterols in interventional trials of SPG5 in the future.

Before atorvastatin can be recommended for the treatment of SPG5 patients, longitudinal data should be awaited to prove sustained tolerance of the drug and favourable long-term effects on oxysterol levels in CSF.

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Supplementary material

Supplementary material is available at *Brain* online.

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